



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/625,813	07/22/2003	Winthrop D. Childers	10008113-4	7780

7590 01/25/2006

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P. O. Box 272400
Fort Collins, CO 80527-2400

EXAMINER

KOCH, GEORGE R

ART UNIT	PAPER NUMBER
----------	--------------

1734

DATE MAILED: 01/25/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/625,813

Applicant(s)

CHILDERS, WINTHROP D.

Examiner

George R. Koch III

Art Unit

1734

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 12-26 and 31-33 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 12-26, 31-33 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: _____.

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 12/15/2005 has been entered. Applicant's remarks from 9/23/2005 are also considered.

Claim Objections

2. Applicant is advised that should claim 33 be found allowable, claim 13 will be objected to under 37 CFR 1.75 as being a substantial duplicate thereof. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA

Art Unit: 1734

1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 12, 19-21, and 31 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1 of copending Application No. 11/017,163.

The limitations of claim 12 are obvious but not identical to the limitations of claim 1 of US 11/017,163. The reservoir corresponds to the reservoir, the drop generator with the ejector, and the controllers is an obvious variation of the electronic circuitry. The pharmaceutical receiving dosage form and reservoir containing a pharmaceutical components in the instant claims are merely a definition of the intended use and does not materially distinguish the claim structure.

As to claim 30, the apparatus of claim 1 of US 11/017,163 is capable of applying to a dose form.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claim Rejections - 35 USC § 102

Art Unit: 1734

5. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

6. Claims 12, 13, 16, 19-25 and 31-33 are rejected under 35 U.S.C. 102(b) as being anticipated by Wirch (US 5,881,716).

As to claim 12, Wirch discloses an apparatus capable of manufacturing a pharmaceutical dose onto a pharmaceutical receiving dosage form, the apparatus comprising a reservoir capable of containing at least one fluid pharmaceutical component, a fluid drop generator (item 8) fluidically coupled to the reservoir (item 5); and a control (columns 2-3) activating the fluid drop generator, the fluid drop generator configured to eject a variably selected quantity of the at least one pharmaceutical component onto the dosage form. The exact dose amount can be selected by an operator (abstract; Figure 5, column 1, lines 10, 21, 34, 44).

Note: applicant is claiming an apparatus. The substrates worked upon (i.e., the fluid pharmaceutical component and the pharmaceutical dosage form) do not differentiate the apparatus from the prior art.

As to claim 13 and 33, Wirch discloses that the liquid reservoir is replaceable (column 2, line 28). Claim 33 is essentially claim 13, reworded.

As to claim 16, Wirch discloses that the system can operate by remote control (see column 2, line 22) such that

As to claim 19, Wirch discloses a control unit which is an information storage element carrier on the reservoir and fluid drop generator, the information storage

Art Unit: 1734

element electrically connectable to the control and providing communicable information to the control of at least one parameter of the pharmaceutical component and fluid drop generator (column 2, line 59 to column 3, line 48).

As to claim 20, Wirch discloses a replaceable cartridge (see column 2, lines 26-28) capable of functioning as claimed, the cartridge comprising a reservoir (item 5) and a fluid drop generator (item 8) capable of being used as claimed.

As to claim 21, Wirch discloses a control unit which is an information storage element carrier on the reservoir and fluid drop generator, the information storage element electrically connectable to the control and providing communicable information to the control of at least one parameter of the pharmaceutical component and fluid drop generator (column 2, line 59 to column 3, line 48).

As to claim 22, the control unit of Wirch is capable of storing the identity of the pharmaceutical component.

As to claim 23, Wirch discloses that the fluid drop generator can be integrally coupled to the reservoir (see Figure 1).

As to claim 24, Wirch discloses that the information storage elements specify the number of drops to be dispensed (see column 3, lines 35-40, which recite “droplets per time unit”).

As to claim 25, Wirch discloses that the fluid drop generator can be integrally coupled to the reservoir (see Figure 1).

Art Unit: 1734

As to claims 31 and 32, the apparatus of Wirch is capable of apply to a dose form.

7. Claims 12-15, 18-26 and 31-33 are rejected under 35 U.S.C. 102(b) as being anticipated by Voges (US 5,894,841).

As to claim 12, Voges discloses an apparatus for manufacturing a pharmaceutical dose onto a pharmaceutical receiving dosage form, the apparatus comprising a reservoir capable of containing at least one fluid pharmaceutical component, a fluid drop generator (item 14) fluidically coupled to the reservoir (item 10); and a control (item 16) activating the fluid drop generator configured to eject a variably selected quantity of the pharmaceutical component onto the dosage form. The exact dose amount can be selected by an operator.

Note: applicant is claiming an apparatus. The substrates worked upon (i.e., the fluid pharmaceutical component and the pharmaceutical dosage form) do not differentiate the apparatus from the prior art.

As to claim 13 and 33, the liquid reservoir is inherently replaceable, and also discloses that the components can be changed (see column 10, lines 43-63, which recites that the parts are replaceable). Claim 33 is claim 13, reworded.

As to claim 14, Voges discloses that the dispenser can be provided with a plurality of cartridges or reservoirs (column 11, line 67), each which can hold a different component.

Art Unit: 1734

As to claim 18, Voges discloses that the dispenser can be provided with a plurality of chambers (column 12, lines 1-2) each which can hold a different component.

As to claim 19, Voges discloses a control unit which is an information storage element carrier on the reservoir and fluid drop generator, the information storage element electrically connectable to the control and providing communicable information to the control of at least one parameter of the pharmaceutical component and fluid drop generator (column 11, lines 1-60).

As to claim 20, Voges discloses a replaceable cartridge (see column 10, lines 43-63, which recites that the parts are replaceable) capable of functioning as claimed, the cartridge comprising a reservoir (item 10) and a fluid drop generator (item 14) capable of being used as claimed.

As to claim 21, Voges discloses a control unit which is an information storage element carrier on the reservoir and fluid drop generator, the information storage element electrically connectable to the control and providing communicable information to the control of at least one parameter of the pharmaceutical component and fluid drop generator (column 11, lines 1-60).

As to claim 22, the control unit of Voges is capable of storing the identity of the pharmaceutical component.

As to claim 23, Voges discloses that the fluid drop generator can be integrally coupled to the reservoir (see Figure 1 and 2).

Art Unit: 1734

As to claim 24, Voges discloses that the information storage elements specify the number of drops to be dispensed (see column 12, line 7, which discloses "successive medications in a dose").

As to claim 25, Voges discloses that the fluid drop generator can be integrally coupled to the reservoir (see Figure 1 and 2).

As to claim 26, Voges discloses that the dispenser can be provided with a plurality of chambers (column 12, lines 1-2) each which can hold a different component.

As to claims 31 and 32, the apparatus of Voges is capable of apply to a dose form.

8. Claims 12-13, 17, 19-25 and 31-33 are rejected under 35 U.S.C. 102(b) as being anticipated by Moldavsky (US 6,061,608).

As to claim 12, Moldavsky discloses an apparatus for capable of being used for manufacturing a pharmaceutical dose onto a pharmaceutical receiving medium, the apparatus comprising a reservoir (i.e., pump 25 in which the liquid 17 is stored) capable of containing one fluid pharmaceutical component, a fluid drop generator (item 23) fluidically coupled to the reservoir; and a control (item 22 and 30) capable of activating the fluid drop generator to eject a variably selected quantity of the pharmaceutical component onto the medium.

As to claim 13, the apparatus of Moldavsky is inherently replaceable.

Art Unit: 1734

As to claim 17, Moldavsky discloses a weight detector (item 21, and see column 3 and 4) for detecting and outputting signals corresponding to the weight of the substrate (which can be the claimed substrate) after the liquid (which can be the component) is dispensed onto the substrate.

As to claim 19, Moldvasky discloses a control (items 22 and 30) which functions as the claimed information storage element.

As to claim 20, Moldavsky as applied above discloses the reservoir and fluid drop generator. Furthermore, the apparatus of Moldavsky is inherently replaceable.

As to claims 21-22, the control of Moldavsky (items 22 and 30) functions as the information storage element and is capable of performing all of the claimed steps.

As to claim 23, the drop generator of Moldavsky is integrally coupled to the reservoir (i.e., pump - see Figure 1).

As to claims 24, the control of Moldavsky (items 22 and 30) functions as the information storage element and is capable of performing all of the claimed steps.

As to claim 25, the drop generator of Moldavsky is integrally coupled to the reservoir (i.e., pump - see Figure 1).

As to claims 31 and 32, the apparatus of Moldavsky is capable of apply to a dose form.

9. Claims 12-13, 19-26 and 31-33 are rejected under 35 U.S.C. 102(b) as being anticipated by Burns (US 5,284,133)

As to claim 12, Burns discloses an apparatus for capable of being used for manufacturing a pharmaceutical dose onto a pharmaceutical receiving medium, the apparatus comprising a reservoir (item 10) capable of containing one fluid pharmaceutical component, a fluid drop generator (nebulizer - recited in column 10, lines 35-51) fluidically coupled to the reservoir; and a control (Figure 2, and see column 9) capable of activating the fluid drop generator to eject a variably selected quantity of the pharmaceutical component onto the medium.

As to claim 13 and 33, the apparatus of Burns is replaceable (see column 9, lines 1-8, which disclose placing multiple canisters). Claim 33 is claim 13, reworded.

As to claim 19, Burns discloses a control (items 22 and 30) and chip (item 25) which functions as the claimed information storage element.

As to claim 20, Burns as applied above discloses the reservoir and fluid drop generator. Furthermore, the apparatus of Burns is replaceable.

As to claims 21-22, the control of Burns (Figure 2) functions as the information storage element and is capable of performing all of the claimed steps.

As to claim 23, the drop generator of Burns is integrally coupled to the reservoir (Figure 4a).

As to claims 24, the control of Burns (Figure 2) functions as the information storage element and is capable of performing all of the claimed steps.

As to claim 25, the drop generator of Burns is integrally coupled to the reservoir (Figure 4a).

As to claim 26, Burns discloses that the chip stores a parameter identifying the pharmaceutical component (see column 4, lines 60-63).

As to claims 31 and 32, the apparatus of Burns is capable of apply to a dose form.

Claim Rejections - 35 USC § 103

10. Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Voges (US 5,894,841) as applied to claim 14 above.

As to claim 14, Voges discloses that the dispenser can be provided with a plurality of cartridges or reservoirs (column 11, line 67), each which can hold a different component. Furthermore, as to claim 15, Voges discloses that the fluid generator can have more than one fluid drop generators (see column 10, line 51).

Voges fails to teach that the different fluid drop generators are used for the different medications. However, official notice is taken that it would have been well known and conventional to have linked the multiple drop generators with individual cartridges or reservoirs. One in the art would immediately recognize that connecting the reservoirs to the generators would enable the storage of multiple pharmaceuticals in one device, and multiple dosing regimes, without cross-contamination. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have utilized various generators separately connected to the reservoirs in order to reduce cross-contamination.

11. Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over either of Wirch (5,881,716) or Voges (US 5,894,841) as applied to claim 12 above, and further in view of Moldavsky (US Patent 6,061,608).

Neither Wirch nor Voges discloses a weight detector for detecting and outputting signals corresponding to the weight of the pharmaceutical receiving medium after the one pharmaceutical component has been dispensed onto the pharmaceutical receiving medium.

Moldavsky discloses a weight detector(item 21) for detecting and outputting signals corresponding to the weight of the pharmaceutical receiving medium after the one pharmaceutical component has been dispensed onto the pharmaceutical receiving medium. Moldavsky discloses that such weight control allows for improvements in the volumetric accuracy and repeatability of the dispensing process (see column 1, lines59-62). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have utilized such weight controls in the inventions of Wirch or Voges in order to achieve volumetric accuracy and repeatability.

Response to Arguments

12. Applicant's arguments filed 5/6/2005 have been fully considered but they are not persuasive.

13. Applicant has argued that the later filed provisional obviousness-type double patenting rejections were erroneously placed and should be lifted. Additionally,

Art Unit: 1734

applicant filed a petition to withdrawn the terminal disclaimers of these later filed applications. The issue with the terminal disclaimers was handled in the petition decision mailed 12/01/2005. Furthermore, the arguments that the provisional obviousness-type double patenting rejections were erroneous are unpersuasive. See MPEP 804 § (I)(B), which permits provisional rejections in between co-pending applications. This section expressly contemplates such rejections of an application with an earlier filing date by an application with a later filing date.

14. In response to applicant's argument that the references do not disclose a pharmaceutical receiving medium, and assuming in arguendo that the phrase "pharmaceutical receiving dosage form" excludes the substrates of Wirch, Voges, Moldavsky, or Burns, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. In a claim drawn to a process of making, the intended use must result in a manipulative difference as compared to the prior art. See *In re Casey*, 370 F.2d 576, 152 USPQ 235 (CCPA 1967) and *In re Otto*, 312 F.2d 937, 939, 136 USPQ 458, 459 (CCPA 1963). The pharmaceutical receiving dosage form is not part of the apparatus and does not patentably distinguish from the prior art. Furthermore, the language "pharmaceutical dosage form" does not exclude the living beings.

Art Unit: 1734

15. Similarly, storing the identity of the pharmaceutical component is an intended use step, and the controllers of the references above are capable of meeting this limitation.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to George R. Koch III whose telephone number is (571) 272-1230 (TDD only). If the applicant cannot make a direct TDD-to-TDD call, the applicant can communicate by calling the Federal Relay Service at 1-866-377-8642 and giving the operator the above TDD number. The examiner can normally be reached on M-F 9-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Fiorilla can be reached on (571) 272-1187. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



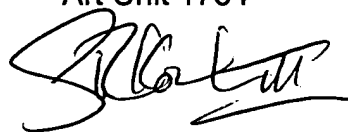
George R. Koch III

Application/Control Number: 10/625,813

Page 15

Art Unit: 1734

Primary Examiner
Art Unit 1734

A handwritten signature in black ink, appearing to be 'J. G. Smith', written over the printed name of the Primary Examiner.

GRK
1/22/2006